

**UNITED STATES BANKRUPTCY COURT  
SOUTHERN DISTRICT OF NEW YORK**

-----x

In re : Chapter 11 Case No.  
LEHMAN BROTHERS HOLDINGS INC., et al., : 08-13555 (SCC)  
Debtors. : (Jointly Administered)  
-----x Ref. Docket No. 49358

## **AFFIDAVIT OF SERVICE**

STATE OF NEW YORK )  
                        )  
                        ) ss.:  
COUNTY OF NEW YORK )

LAUREN RODRIGUEZ, being duly sworn, deposes and says:

1. I am employed by Epiq Bankruptcy Solutions, LLC, located at 757 Third Avenue, New York, New York 10017. I am over the age of eighteen years and am not a party to the above-captioned action.
  2. On May 13, 2015, I caused to be served the “Notice: Filing of Transfer of Claim Pursuant to Federal Rule of Bankruptcy Procedure 3001(e)(2) or (4),” a sample of which is attached hereto as Exhibit A, by causing true and correct copies to be: 1) personalized with the transferee, transferor and claim information for the above-referenced docket numbers, 2) enclosed securely in separate postage pre-paid envelopes and 3) delivered by first-class mail to the parties listed on the attached Exhibit B.
  3. All envelopes utilized in the service of the foregoing contained the following legend: “LEGAL DOCUMENTS ENCLOSED. PLEASE DIRECT TO ATTENTION OF ADDRESSEE, PRESIDENT OR LEGAL DEPARTMENT.”

Sworn to before me this  
20<sup>th</sup> day of May, 2015

/s/ Sidney J. Garabato  
Notary Public, State of New York  
No. 01GA6218946  
Qualified in New York County  
Commission Expires March 15, 2018

/s/ Lauren Rodriguez  
Lauren Rodriguez

## **EXHIBIT A**

In re

LEHMAN BROTHERS HOLDINGS INC., et al.,

Chapter 11 Case No.

08-13555 (SCC)

**NOTICE: FILING OF TRANSFER OF CLAIM PURSUANT TO FEDERAL RULE OF  
BANKRUPTCY PROCEDURE 3001(e)(2) or (4)**

Note: For Purposes of this form, **transferor** refers to the claimant who is selling or otherwise assigning its claim. While **transferee** refers to the party who is purchasing or otherwise being assigned the claim.

To: BAR(23) MAILID \*\*\* 000093216345 \*\*\* LBH TRFNTC (ADDRESS2, ADRKEYID3) 30699



CVF II LUX FINCO, LLC  
ANDREWS KURTH LLP  
ATTN: DAVID HOYT  
450 Lexington Avenue  
New York, NY 10017

CVF II LUX FINCO, LLC  
TRANSFEROR: CVI CVF II LUX MASTER S.A.R.L.  
C/O CARVAL INVESTORS, LLC  
ATTN: TERI SALBERG  
9320 EXCELSIOR BLVD., 7TH FLOOR  
HOPKINS, MN 55343

Please note that your claim # 20120-03 in the above referenced case and in the amount of \$34,495,606.14 allowed at \$8,188,356.92 has been transferred (unless previously expunged by court order)

CVI CVF II LUX SECURITIES TRADING S.A.R.L.  
TRANSFEROR: CVF II LUX FINCO, LLC  
C/O CARVAL INVESTORS LLC; ATTN: TERI SALBERG  
9320 EXCELSIOR BOULEVARD  
HOPKINS, MN 55343

No action is required if you do not object to the transfer of your claim. However **IF YOU OBJECT TO THE TRANSFER OF YOUR CLAIM, YOU MUST, WITHIN 21 DAYS OF THE DATE OF THIS NOTICE, FILE A WRITTEN OBJECTION TO THE TRANSFER WITH:**

UNITED STATES BANKRUPTCY COURT  
Southern District of New York  
One Bowling Green  
New York, NY 10004-1408

Send a copy of your objection to the transferee. Refer to INTERNAL CONTROL NUMBER 49358 in your objection. If you file an objection, a hearing will be scheduled. **IF YOUR OBJECTION IS NOT TIMELY FILED, THE TRANSFEE WILL BE SUBSTITUTED ON OUR RECORDS AS THE CLAIMANT.**

Date: 05/13/2015

Vito Genna, Clerk of Court

/s/ Lauren Rodriguez

EPIQ BANKRUPTCY SOLUTIONS, LLC

as claims agent for the debtor(s).

FOR EBS USE ONLY: This notice was mailed to the transferor, transferee, and debtor(s) counsel by first class mail, postage prepaid on May 13, 2015.

## **EXHIBIT B**

**Claim Name****Address Information**

CVF II LUX FINCO, LLC	TRANSFEROR: CVI CVF II LUX MASTER S.A.R.L., C/O CARVAL INVESTORS, LLC, ATTN: TERI SALBERG, 9320 EXCELSIOR BLVD., 7TH FLOOR, HOPKINS, MN 55343
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**Total Creditor Count 71**